



### ATTORNEY DOCKET NO. Q64435 PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Seiji UMEMOTO, et al.

Appln. No. 09/851,970

Confirmation No.: 4097

Filed: May 10, 2001

For:

REFLECTON TYPE LIQUID-CRYSTAL DISPLAY DEVICE

STATEMENT UNDER 37 CFR § 1.97(e)

Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Group Art Unit: 2871

Examiner: NOT YET ASSIGNED

SUGHRUE MION, PLLC 2100 Pennsylvania Avenue, N.W.

Washington, D.C. 20037-3213 Telephone: (202) 293-7060 Facsimile: (202) 293-7860

Date: November 9, 2001

Darryl Mexic

Registration No. 23,063



## ATTORNEY DOCKET NO. Q64435 PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Seiji UMEMOTO, et al.

Appln. No. 09/851,970

Confirmation No.: 4097

Filed: May 10, 2001

Group Art Unit: 2871

Examiner: NOT YET ASSIGNED

• ,

REFLECTON TYPE LIQUID-CRYSTAL DISPLAY DEVICE

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

For:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under

INFORMATION DISCLOSERE STATEMENT ATTORNEY DOCKET NO. Q64435 U.S. Appln. No. 09/851,970

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a Statement can be made, a Statement is submitted herewith.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants enclose herewith a copy of a corresponding Communication from a Foreign Patent Office citing such documents, together with an English-language version (if not already included) of that portion of the Communication from a Foreign Patent Office indicating the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Registration No. 23,063

SUGHRUE MION, PLLC 2100 Pennsylvania Avenue, N.W.

Washington, D.C. 20037-3213

Telephone: (202) 293-7060 Facsimile: (202) 293-7860

Date: November 9, 2001